

10/049587

JC13 Rec'd PCT/PTO 12 FEB 2002

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applicants: Douglas E. Brennenman and Catherine Y. Spong

Application No.: Unassigned Filed: Herewith

For: ORALLY ACTIVE PEPTIDES THAT PREVENT CELL DAMAGE AND DEATH

United States Department of Health and Human Services, a government agency
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame(s) _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I, _____, of my own knowledge are true, and that all statements made on

ASSIGNMENT

JOINT

WHEREAS, We, **Douglas E. Brenneman** of 10601 Santa Anita Terrace, Damascus, Maryland, 20872, a citizen of the United States; **Catherine Y. Spong** of 3857 N. Rixey Street, Arlington, Virginia, 22207, a citizen of the United States; all inventors being employees of the Department of Health and Human Services at the time the invention was made, have invented: ORALLY ACTIVE PEPTIDES THAT PREVENT CELL DAMAGE AND DEATH, for which an application to the Assistant Commissioner for Patents for grant of Letters Patents of the United States of America has been executed by the undersigned

☒ on (date(s) Declaration signed):

☐ is identified as U.S. Patent Application:
Serial No.

Filed ; and

WHEREAS, the conditions under which said invention was made are such as to entitle the Government of the United States of America under Paragraph 1(a) of Executive Order 10096, to the entire right, title, and interest herein, both domestic and foreign; and

WHEREAS, the Government of the United States of America is desirous of acquiring all domestic and foreign right, title, and interest in the aforesaid invention described in the aforesaid application for Letters Patent of the United States of America; and

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the premises and other valuable considerations, we the undersigned, have sold, assigned, and transferred and by these presents do sell, assign, and transfer unto The Government of the United States of America as represented by the Secretary of the Department of Health and Human Services (hereinafter THE GOVERNMENT) and its

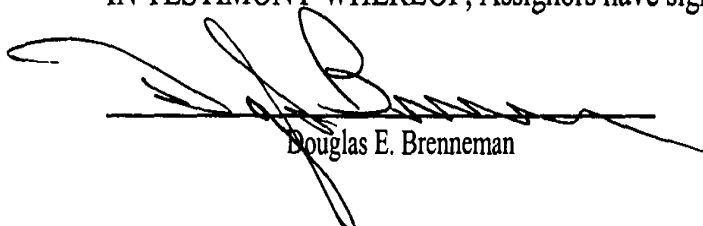
AND, we hereby authorize and request the Assistant Commissioner for Patents to issue said Letters Patent to THE GOVERNMENT as assignee of the entire right, title, and interest in and to the same throughout the United States of America, its territories and dependencies, and within each and every foreign country in which THE GOVERNMENT elects to file, for the sole use for the full term or terms for which said Letters Patent and Registrations may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof which may be granted as fully and entirely as the same would have been held by us, had this assignment not been made;

AND, we hereby warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied with respect to the aforesaid application for Letters Patent which will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of this instrument by us;

AND, we hereby agree to execute any and all applications for Letters Patent in the United States of America and foreign countries, and to furnish and deliver to the Secretary, Department of Health and Human Services, upon request, all data and documents, and to execute any papers which may be necessary for the prosecution of any application or applications of Letters Patent or for THE GOVERNMENT to exercise its rights granted hereunder, including communicating to THE GOVERNMENT, its representatives or agents, any facts relating to said invention, including evidence for interference purposes, or for other proceedings, whenever requested, to testify in any interference or other proceedings, whenever requested, and to execute and deliver on request all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon our heirs, legal representatives, administrators and assigns, and we shall assist THE GOVERNMENT in every way as may be requested in protecting said invention, provided that any expense of extending such assistance shall be paid by THE GOVERNMENT.

The undersigned hereby grants THE GOVERNMENT or the law firm of Townsend and Townsend and Crew LLP, the power to insert on this Assignment any further identification which

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.


Douglas E. Brenneman

1/23/02
(date)

STATE OF Maryland)

COUNTY OF Montgomery)

UNITED STATES OF AMERICA

On January 23, 2002, before me, Trudy C. Welsh ^{Jaw} (here insert name and title of the officer), personally appeared Douglas E. Brenneman ^{Jaw} personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature Trudy C. Welsh
Notary Public

(Seal)

My Commission Expires
May 1, 2003

Catherine Y. Spong

Catherine Y. Spong

1/29/02

(date)

STATE OF Maryland)

COUNTY OF Montgomery)

UNITED STATES OF AMERICA

On Jan. 29, 2002, before me, Trudy C. Welsh, Notary Public (here insert name and title of the officer), personally appeared Catherine Y. Spong, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, execute the instrument.

WITNESS my hand and official seal.

Signature

Trudy C. Welsh
My Commission Expires May, 2003

(Seal)

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Applicants: Illana Gozes, Albert Pinhasov, and Eliezer Giladi

Application No.: Unassigned

Filed: Herewith

For: ORALLY ACTIVE PEPTIDES THAT PREVENT CELL DAMAGE AND DEATH

Ramot - University Authority For Applied Research And Industrial Development Ltd., university

(Name of Assignee)

(Type of Assignee)

certifies that it is assignee of the patent application identified above by virtue of either:

A. X An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame(s) _____, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made
information and belief are true.

Attorney Docket No.: 15280W-002100US

DHHS Ref. No.: E-286-98/3

Ramot Ref. No.: (1945) 618

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Illana Gozes, residing at Haamal Street 14, Ramat Hasharon, 47445 Israel, a citizen of Israel; Albert Pinhasov, residing at Maapiley Egoz 29, Apt. 21, Tel Aviv, 67437, Israel, a citizen of Israel; Eliezer Giladi, residing at Sachlav Street 40, Ramat Poleg, Netania, 42207, Israel, a citizen of Israel, hereinafter referred to as "Assignors," are the inventor of the invention described and set forth in the below-identified provisional patent application:

Title of Invention: ORALLY ACTIVE PEPTIDES THAT PREVENT CELL DAMAGE AND DEATH

Filing Date: August 17, 2000

Application No.: PCT/US00/22861

WHEREAS, RAMOT – University Authority For Applied Research And Industrial Development Ltd., of 23 Levanon Street, Tel-Aviv 69975 Israel, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agrees that s/he will, without charge to Assignee, but at Assignee's expense,
file U.S. Patent applications and foreign counterparts on the invention

Joint Assignment

Attorney Docket No.: 15280W-002100US

DHHS Ref. No.: E-286-98/3

Ramot Ref. No.: (1945) 618

Page 2


IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 1/21/02

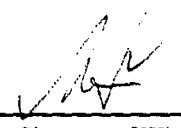

Ilana Gozes

SIGNATURE WITNESSED BY:

(1) Date: 1/21/02


Signature of Witness 1

(2) Date: 1/21/02


Signature of Witness 2

Joint Assignment

Attorney Docket No.: 15280W-002100US

DHHS Ref. No.: E-286-98/3

Ramot Ref. No.: (1945) 618

Page 3

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

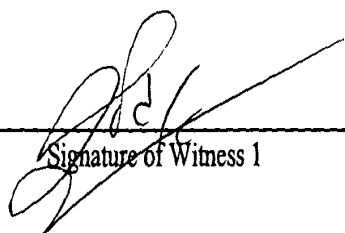
Dated: 1/21/02



Albert Pinhasov


SIGNATURE WITNESSED BY:

(1) Date: 1/21/02



Signature of Witness 1

(2) Date: 1/21/02



Signature of Witness 2

Joint Assignment

Attorney Docket No.: 15280W-002100US

DHHS Ref. No.: E-286-98/3

Ramot Ref. No.: (1945) 618

Page 4


IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 1/21/02

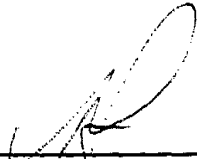

Ehezzer Giladi

SIGNATURE WITNESSED BY:

(1) Date: 1/21/02


Signature of Witness 1

(2) Date: 1/21/02


Signature of Witness 2